

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,247	03/22/2001	Paul H. Maier JR.	1768.2002-000

CONFIRMATION NO. 6487

## FORMALITIES LETTER



\*OC000000006031266\*

James M. Smith  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
Two Militia Drive  
Lexington, MA 02421-4799

Date Mailed: 05/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$330.
  - \$90 for 5 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1170.

*A copy of this notice **MUST** be returned with the reply.*

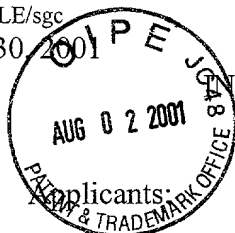
*Sub E mls*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/06/2001 NYUSUF1 00000108 09815247  
01 FC:101  
02 FC:103  
03 FC:102  
04 FC:105  
710.00 OP  
90.00 OP  
240.00 OP  
130.00 OP

09815247-080201

July 30, 2001



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul H. Maier, Jr.

Application No.: 09/815,247

Group: 2632

Filed: March 22, 2001

Examiner:

For: ELECTRONICALLY CONTROLLED MULTI-TONE PERIPHERAL

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>July 30, 2001</u>	<u>Sharon G. Carlisle</u>
Date	Signature
<u>Sharon G. Carlisle</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated May 2, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

☒ **EXECUTED DECLARATION/POWER OF ATTORNEY**

(Separate transmittal letter and postcard not required)

☒ **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.

(Separate transmittal letter and postcard not required)

☒ **SURCHARGE** - surcharge fee of \$130.00.

(Separate transmittal letter and postcard not required)

☐ **SEQUENCE LISTING** - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

08/06/2001 MYUSUF1 00000109 09815247

01 FC:115

110.00 DP

**[X] TRANSMITTAL OF SUBSTITUTE DRAWINGS**

[1] Sheet of substitute drawings consisting of Figs. 1 - 2 is enclosed.

*(Separate transmittal letter and postcard not required)*

**[X] PETITION FOR EXTENSION OF TIME**

[X] Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated May 2, 2001 for 1 month from July 2, 2001 to August 2, 2001. The appropriate fee of \$110.00 is included in the enclosed check.

[ ] A [ ] month extension of time to respond to the Notice to File Missing Parts dated [ ] was filed on [ ] with payment of a \$[ ] fee.

[ ] Applicant hereby petitions for an additional [ ] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[ ] is included in the enclosed check.

*(Separate Petition for Extension of Time and postcard not required)*

**[ ] REQUEST FOR CORRECTED FILING RECEIPT - Filed concurrently and is attached.**

*(Separate transmittal letter and postcard required)*

**[ ] STATEMENT CLAIMING SMALL ENTITY STATUS**

[ ] Was filed on [ ] .

[ ] Is enclosed herewith.

*(Separate transmittal letter and postcard not required)*

[ ] In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[ ] which were paid on [ ] to be deposited in Deposit Account No. 08-0380.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1,280.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Erik L. Ence  
 Erik L. Ence  
 Registration No.: 42,511  
 Tel.: (781) 861-6240  
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Lexington, Massachusetts 02421-4799

Date: July 30, 2001

09/815,247-080380